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FACSIMILE COVER SHEET

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To: U.S. Patent and Trademark Office

Facsimile No.: (571) 273-8300

Telephone No.: _____

From: Michael P. Straub, Esq.

Date: September 7, 2007

Number of Pages Including Cover: 55

MESSAGE: FORMAL SUBMISSION OF:

- 1) Fee transmittal (in duplicate);
- 2) Information Disclosure Statement Transmittal;
- 3) PTO/SB/08A form; and
- 4) References AG-AK

Attorney Docket No.: Flarion-4/APP(060533)

Appl. No.: 10/090,871

Applicant: Rajiv LAROTA

Filed: May 5, 2002

Title: METHOD OF SYMBOL TIMING SYNCHRONIZATION IN COMMUNICATION SYSTEMS

TC/A.U.: 2661

Examiner: Kerri M Dyke

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (and any accompanying paper(s)) is being facsimile transmitted to the United States Patents and Trademark Office on the date shown below.

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Date

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Modified PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
for FY 2006**

Effective 12/08/2004. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180.00)

Complete if Known

| | |
|----------------------|------------------------|
| Application Number | 10/090,871 |
| Filing Date | March 5, 2002 |
| First Named Inventor | Rajiv Laroia |
| Examiner Name | Kerri M. Dyke |
| Art Unit | 2616 |
| Attorney Docket No. | Flarion-4/APP (060533) |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:

Deposit Account Number 50-1049

Deposit Account Name Straub & Pokotylo

The Commissioner is authorized to: (check all that apply)

☐ Charge any underpayment of fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) due in connection with the filing submitted herewith☐ Charge fee(s) indicated below, except for the filing fee in the to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING, SEARCH & EXAMINATION FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|-----------------|-----------|
| 1000 | 500 | Utility fee | |
| 430 | 215 | Design fee | |
| 860 | 330 | Plant fee | |
| 1400 | 700 | Reissue fee | |
| 200 | 100 | Provisional fee | |
| SUBTOTAL (1) | | | (\$ 0.00) |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent | -20** = | X | |
| Multiple Dependent | -3** = | X | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|---|
| 1202 50 | 2202 25 | Claims in excess of 20 |
| 1201 200 | 2201 100 | Independent claims in excess of 3 |
| 1203 360 | 2203 180 | Multiple dependent claim, if not paid |
| 1204 200 | 2204 100 | **Reissue independent claims over original patent |
| 1205 50 | 2205 25 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0.00)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|---|----------------------------|--|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for ex parte reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 120 | 2251 60 | Extension for reply within first month | |
| 1252 450 | 2252 225 | Extension for reply within second month | |
| 1253 1,020 | 2253 510 | Extension for reply within third month | |
| 1254 1,590 | 2254 795 | Extension for reply within fourth month | |
| 1255 2,160 | 2255 1,080 | Extension for reply within fifth month | |
| 1401 500 | 2401 250 | Notice of Appeal | |
| 1402 500 | 2402 250 | Filing a brief in support of an appeal | |
| 1403 1,000 | 2403 500 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | |
| 1452 600 | 2452 250 | Petition to revive - unavoidable | |
| 1453 1,500 | 2453 750 | Petition to revive - unintentional | |
| 1501 1,400 | 2501 700 | Utility issue fee (or reissue) | |
| 1502 800 | 2502 400 | Design issue fee | |
| 1503 1,100 | 2503 550 | Plant issue fee | |
| Petitions to the Commissioner - check fee sheet | | | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(c) | |
| 1808 180 | 1808 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | 180.00 |
| 1809 790 | 2809 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 790 | 2810 395 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 790 | 2801 395 | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180.00)

SUBMITTED BY

| | | | | | |
|-------------------|--------------------------|-----------------------------------|-------------------|-----------|----------------|
| Name (Print/Type) | Michael P. Straub | Registration No. (Attorney/Agent) | 36,941 | Telephone | (732) 542-9070 |
| Signature | <i>Michael P. Straub</i> | Date | September 7, 2007 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231.

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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Attorney Docket No.: **Flarion-4/APP (060533)**

Applicant: **Rajiv Laroia**

Serial No.: **10/090,871**

Filing Date: **May 5, 2002**

Title: **METHOD OF SYMBOL TIMING SYNCHRONIZATION IN COMMUNICATION SYSTEMS**

Examiner: **Kerri M. Dyke**

Group Art Unit: **2616**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R:

**SUBMISSION OF INFORMATION DISCLOSURE STATEMENT
WITH FEE**

The applicants respectfully request that the references listed on the attached PTO/SB/08A be considered in the examination of the above-identified application. A copy of each of these references, except for U.S. patents and patent application publications is enclosed. (See the notice, "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications Filed After June 30, 2003," Pre-OG Notices (July 11, 2003).)

The applicants reserve the right to establish that any of the references listed on the attached PTO/SB/08A are not prior art to the above-captioned application.

-1-

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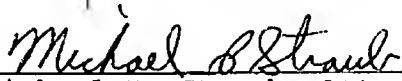
09/10/2007 SSESHE1 00000078 501049 10090871
01 FC:1806 180.00 DA

A copy of English language U.S. Patent No. 6,519,291 is provided herewith. This English language patent is a counterpart to non-English language Japanese Patent No. JP 11-275046 also submitted herewith.

This Information Disclosure Statement is being submitted more than three (3) months after the filing date of the application and following the issuance of an Office Action, but before a final Office Action, a Notice of Allowance, or an action that otherwise closes prosecution. **The Patent Office is authorized to charge the fee due to obtain consideration of this Information Disclosure Statement to the deposit account of Straub & Pokotylo, deposit account number 50-1049.** A fee transmittal letter is submitted herewith to facilitate charging of the fee. A fee transmittal letter is submitted herewith to facilitate charging of the fee.

Respectfully submitted,

September 7, 2007


Michael P. Straub, Attorney
Reg. No. 36,941
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Michael P. Straub

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| U.S. Department of Commerce Patent and Trademark Office | | | | <i>Complete if Known</i> | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | | | Application Number: 10/090,871 Filing Date: May 5, 2002 First Named Inventor: Rajiv LAROIA Group Art Unit: 2812 2474 Examiner Name: Kerri M. Dyke | | | |
| Sheet | 1 | of | 2 | Attorney Docket No.: Flarion-4/APP (060533) | | | |

U.S. PATENT DOCUMENTS

[illegible][illegible]

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|-----------------------|--------------|--------------------|------------|
| Examiner Signature | /Kerri Rose/ | Date Considered | 09/26/2007 |
|-----------------------|--------------|--------------------|------------|

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. 2 See attached kinds of U.S. Patent Documents. 3 Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the Indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16, if possible. 6 Applicant is to place a check mark here if English language translation is attached.

(modified PTO/SB/08A)

| | | | |
|---|---|--|---|
| U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | <i>Complete if Known</i> Application Number: 10/090,871 Filing Date: May 5, 2002 First Named Inventor: Rajiv LAROA Group Art Unit: 2616 2474 Examiner Name: Kerri M Dyke Attorney Docket No.: Flarion-4/APP(060533) | |
| Sheet | 2 | of | 2 |

OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume, issue number(s), publisher, country, where published, source | T ² |
|--------------------|-----------------------|--|----------------|
| /KMR/ | AI | Notification of Transmittal of International Search Report and International Search Report for International Application No. PCT/US2002/006265, Pgs.1-6, Dated: January 24, 2003 | |
| /KMR/ | AJ | Written Opinion for International Application No. PCT/US2002/006265, Pgs. 1-4, Dated: February 24, 2004 | |
| /KMR/ | AK | International Preliminary Examination Report for International Application No. PCT/US2002/006265, Pgs. 1-3, Dated: August 18, 2004 | |
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| Examiner Signature | /Kerri Rose/ | Date Considered | 12/04/2009 |
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